

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey W. House  
William P. House  
William K. House

2. Name and address of receiving party(ies):

Name: Infi-Shield Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

3. Nature of conveyance:

- [X] Assignment [ ] Merger  
[ ] Security Agreement [ ] Change of Name  
[ ] Other \_\_\_\_\_

Street Address: 9340 North Shore Trail

City: Forest Lake State: MN ZIP: 55025

Execution Date: 9/10/94 and 9/12/94

Additional name(s) & address(es) attached? [ ] Yes [X] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 9/10/94 and

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew D. Sorensen

Address: Merchant, Gould, Smith, Edell,  
Welter & Schmidt  
to be charged to deposit account  
Suite 1000, Norwest Center  
55 East Fifth Street  
St. Paul, MN 55101-2701

6. Total number of applications and patents involved: ..... 1

7. Total fee (37 CFR 3.41): ..... \$ 40.00

[X] Enclosed

[ ] Authorized

8. Please charge any additional fees or credit any overpayments to our Deposit account number:

13-2725

(A Duplicate copy of this page is attached.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Andrew D. Sorensen

Name of Person Signing

Andrew D. Sorensen  
Signature

September 19, 1994  
Date

Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

## ASSIGNMENT

WHEREAS, WE, Jeffrey W. House residing at 12595 Lakamaga Trail North, Marine on the St. Croix, Minnesota 55047, William P. House residing at 9340 North Shore Trail, Forest Lake, Minnesota 55025 and William K. House residing at 22230 Jeffrey Avenue North, Forest Lake, Minnesota 55025 made certain new and useful inventions and improvements for which We executed an application for Letters Patent of the United States on even date herewith which is entitled A METHOD OF SEALING A MANHOLE RISER AND A CATCH BASIN AND APPARATUS FOR SAME.

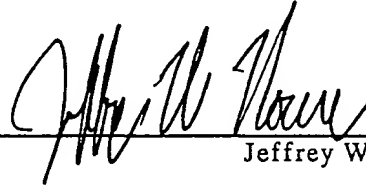
AND WHEREAS, Infi-Shield Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 9340 North Shore Trail, Forest Lake, Minnesota 55025 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that I and we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

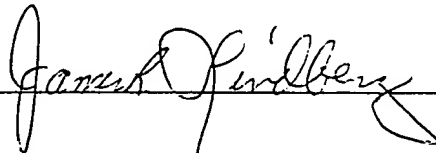
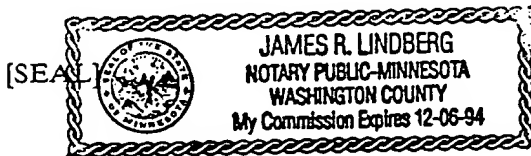
IN TESTIMONY WHEREOF, I have hereunto set my hand this 12<sup>th</sup> day of SEPT., 1994.



Jeffrey W. House

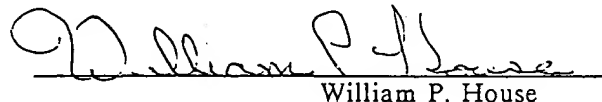
STATE OF MINNESOTA )  
COUNTY OF WASHINGTON ) ss.

On this 12<sup>th</sup> day of SEPT., 1994 before me personally appeared Jeffrey W. House to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



Notary Public

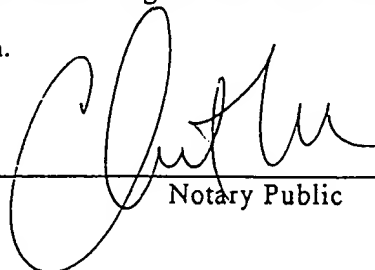
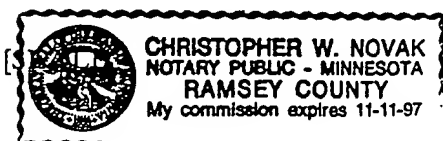
IN TESTIMONY WHEREOF, I have hereunto set my hand this 10<sup>th</sup> day of SEPTEMBER, 1994.



William P. House

STATE OF MINNESOTA )  
COUNTY OF RAMSEY ) ss.

On this 10<sup>th</sup> day of SEPTEMBER, 1994 before me personally appeared William P. House to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



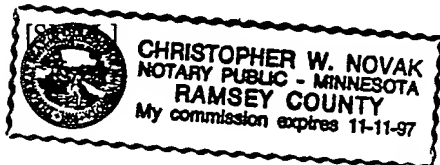
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 10<sup>th</sup> day  
of September, 1994.

William K. House  
William K. House

STATE OF MINNESOTA )  
COUNTY OF Ramsey ) ss.

On this 10<sup>th</sup> day of September, 1994 before me personally appeared  
William K. House to me known and known to me to be the person described in and who  
executed the foregoing instrument, and he duly acknowledged to me that he executed the  
same for the uses and purposes therein set forth.



Christopher W. Novak  
Notary Public

MERCHANT, GOULD, SMITH, EDELL, WELTER & SCHMIDT

United States Patent Application  
COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: A METHOD OF SEALING A MANHOLE RISER AND A CATCH BASIN AND APPARATUS FOR SAME

The specification of which

- a. X is attached hereto  
b.    was filed on    as application serial no.    and was amended on    (if applicable) (in the case of a PCT-filed application) described and claimed in international no.    filed    and as amended on    (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (see page 3 attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a.    no such applications have been filed.  
b.    such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

ALL FOREIGN APPLICATIONS, IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
08/273,503	11 July 1994	pending

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Adriano, Sarah B.	Reg. No. 34,470	Gates, George H.	Reg. No. 33,500	Reiland, Earl D.	Reg. No. 25,767
Batzli, Brian H.	Reg. No. 32,960	Golla, Charles E.	Reg. No. 26,896	Schmidt, Cecil C.	Reg. No. 20,566
Beard, John L.	Reg. No. 27,612	Gould, John D.	Reg. No. 18,223	Schuman, Mark D.	Reg. No. 31,197
Beck, Robert C.	Reg. No. 28,184	Gresens, John J.	Reg. No. 33,112	Schumann, Michael D.	Reg. No. 30,422
Bejin, Thomas E.	Reg. No. 37,089	Hamre, Curtis B.	Reg. No. 29,165	Sebald, Gregory A.	Reg. No. 33,280
Berman, Charles	Reg. No. 29,249	Hassing, Thomas A.	Reg. No. 36,159	Smith, Jerome R.	Reg. No. 35,684
Bogucki, Raymond A.	Reg. No. 17,426	Hillson, Randall A.	Reg. No. 31,838	Sorensen, Andrew D.	Reg. No. 33,606
Bruess, Steven C.	Reg. No. 34,130	Hollingsworth, Mark A.	Reg. No. P-38,491	Stinebruner, Scott A.	Reg. No. P-38,323
Byrne, Linda M.	Reg. No. 32,404	Kastelic, Joseph M.	Reg. No. 37,160	Strawbridge, Douglas A.	Reg. No. 28,376
Carlson, Alan G.	Reg. No. 25,959	Kowalchuk, Alan W.	Reg. No. 31,535	Strodthoff, Kristine M.	Reg. No. 34,259
Carter, Charles G.	Reg. No. 35,093	Kowalchuk, Katherine M.	Reg. No. 36,848	Sumner, John P.	Reg. No. 29,114
Caspers, Philip P.	Reg. No. 33,227	Krull, Mark A.	Reg. No. 34,205	Sumners, John S.	Reg. No. 24,216
Clifford, John A.	Reg. No. 30,247	Lasky, Michael B.	Reg. No. 29,555	Tellekson, David K.	Reg. No. 32,314
Conrad, Timothy R.	Reg. No. 30,164	Lynch, David W.	Reg. No. 36,204	Underhill, Albert L.	Reg. No. 27,403
Daignault, Ronald A.	Reg. No. 25,968	Mau, Michael L.	Reg. No. 30,087	Vandenburgh, J. Derek	Reg. No. 32,179
Daley, Dennis R.	Reg. No. 34,994	McDonald, Daniel W.	Reg. No. 32,044	Vietzke, Lance L.	Reg. No. 36,708
DeFrank, Edmond A.	Reg. No. 37,814	McDonald, Wendy M.	Reg. No. 32,427	Welter, Paul A.	Reg. No. 20,890
DiPietro, Mark J.	Reg. No. 28,707	Nelson, Albin J.	Reg. No. 28,650	Williams, Douglas J.	Reg. No. 27,054
Edell, Robert T.	Reg. No. 20,187	Plunkett, Theodore	Reg. No. 37,209	Wood, Gregory B.	Reg. No. 28,133
Farber, Michael B.	Reg. No. 32,612	Reich, John C.	Reg. No. 37,703	Yip, Philip S.	Reg. No. 37,265

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant, Gould to the contrary.

Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below:

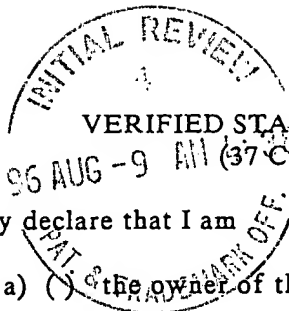
1000 Norwest Center, 55 East Fifth Street  
St. Paul, Minnesota 55101

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name Of Inventor	Family Name	First Given Name	Second Given Name
2 Residence & Citizenship	House	Jeffrey	W.
1 Post Office Address	City	State or Foreign Country	Country of Citizenship
	Marine of the St. Croix	Minnesota	USA
	Post Office Address	City	State & Zip Code/Country
	12595 Lakamaga Trail North	Marine on the St. Croix	Minnesota/55047/USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
2 Residence & Citizenship	House	William	P.
2 Post Office Address	City	State or Foreign Country	Country of Citizenship
	Forest Lake	Minnesota	USA
	Post Office Address	City	State & Zip Code/Country
	9340 North Shore Trail	Forest Lake	Minnesota/55025/USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
2 Residence & Citizenship	House	William	K.
3 Post Office Address	City	State or Foreign Country	Country of Citizenship
	Forest Lake	Minnesota	USA
	Post Office Address	City	State & Zip Code/Country
	12230 Jeffrey Avenue North	Forest Lake	Minnesota/55025/USA
Signature of Inventor 201	Signature of Inventor 202	Signature of Inventor 203	
Date 9-10-94	Date 9/10/94	Date 9/10/94	

For Additional Inventors:

— Indicate here and attach sheet with same information, including date and signature.



SMALL BUSINESS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- a) ☐ the owner of the small business concern identified below:
- b) ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Infi-Shield Inc.

ADDRESS OF CONCERN: 9340 North Shore Trail  
Forest Lake, Minnesota 55025

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled A METHOD OF SEALING A MANHOLE RISER AND A CATCH BASIN AND APPARATUS FOR SAME by inventor(s) Jeffrey W. House, William P. House and William K. House described in

- a) ☒ the specification filed herewith.
- b) ☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- c) ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

a) ☐ INDIVIDUAL                      b) ☐ SMALL BUSINESS CONCERN                      c) ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

a) ☐ INDIVIDUAL                      b) ☐ SMALL BUSINESS CONCERN                      c) ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME William P. House

TITLE President

ADDRESS 9340 North Shore Trail, Forest Lake, Minnesota 55025

SIGNATURE William P. House

DATE 9/10/94